

10/586021

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Dated: 7-14-06 Signature: Laurie J. Brown  
(Laurie Brown)

AP20080714 JUL 2006

Docket No.: 65902(70551)  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Keiji Sakai et al.

Application No.: Not Yet Assigned

Confirmation No.: N/A

Filed: Concurrently Herewith

Art Unit: N/A

For: OPTICAL INTEGRATED UNIT  
INCLUDING HOLOGRAM ELEMENT AND  
OPTICAL PICKUP DEVICE

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

A summary/abstract translation of the non-English language references is enclosed.

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

Application No.: Not Yet Assigned

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Docket No.: 65902(70551)

Applicants note that U.S. Patents Nos. 5,703,856 and 5,912,868 to Hayashi et al. claim priority from Japanese Application No. 6-190462 (Ref. BB); U.S. Patent No. 6,366,548 to Ohyama claims priority from Japanese Application No. 10-297400 (Ref. BA); and U.S. Published Patent Application No. 2003/0072047 A1 to Funato et al. claims priority from Japanese Application No. 2001-300051 (Ref. BC).

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 65902(70551). A duplicate copy of this paper is enclosed.

Dated: July 14, 2006

Respectfully submitted,

By 

Peter J. Marfus

Registration No.: 26,766

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Attorneys/Agents For Applicant

10/586021

AP20 Rec'd PCT/PTO 14 JUL 2006

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 65902(70551)

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IDS (Citation) by Applicant (10 References) (1 page)

Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	Not Yet Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	Keiji Sakai
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	65902(70551)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	BA	JP-2000-123403 A	04-28-2000	Victor Company of Japan		✓
	BB	JP-08-055363 A	02-27-1996	Matsushita Electric Ind Co Ltd		✓
	BC	JP-2003-109243 A	04-11-2003	Ricoh Co Ltd		✓
	BD	JP-2001-155375 A	06-08-2001	Asahi Glass Co Ltd		
	BE	JP-2003-338078 A	11-28-2003	Matsushita Electric Ind Co Ltd		
	BF	JP-2001-283456 A	10-12-2001	Alps Electric Co Ltd		
	BG	JP-2003-317300 A	11-07-2003	Ricoh Co Ltd		
	BH	JP-2000-076689 A	03-14-2000	Sharp Corp		✓
	BI	JP-2001-344800 A	12-14-2001	Asahi Glass Co Ltd		✓
	BJ	JP-2003-109243 A	04-11-2003	Ricoh Co Ltd		✓

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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